

5-16-01

Attorney's docket 21720

Box Patent Application Commissioner of Patents and Trademarks Washington, DC 20231

CERTIFICATE OF EXPRESS OR FIRST CLASS MAILING

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EL8421 Karl F. Ross, P.C. **PATENT**

NEW APPLICATION TRANSMITTAT

Transmitted herewith for filing is the patent application of inventors: Last Name Residence 1. Jane C. Citizenship HIRSH Wellesley, 2. Kamal K. MIDHA USA Hamilton HM 12, Bermuda 3. Mark HIRSH Canada Wellesley, MA 4. Whe-Yong LO USA Canton, MA **USA**

For (title):

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PHARMAGEUTICAL COMPOSITION FOR BOTH INTRAORAL AND ORAL **ADMINISTRATION**

- 1. Type of Application 1723
 - Utility Design
 - Plant
 - Original
 - Divisional Continuation
 - Continuation-in-part
 - Benefit of Prior US Application(s) (35 USC 120) or foreign priority (35 USC 119)
 - The new application being transmitted claims the benefit of a prior US application. \Box Foreign priority claimed (see ¶9)
- 3. Papers Enclosed Required For Filing Date Under 37 CFR 1.53(b) (Uti.) or 37 CFR 1.153 (Des.)
 - Pages of specification (60)
 - Pages of claims (13)
 - Pages of Abstract (1)
 - Sheets of Drawing (1)
 - Formal
 - Informal

4		Additional	papers encl sed					
			Preliminary Amendment					
			Information Disclosure Statement					
		€	PTO-1449					
		Ø	Citations (14)					
			Declaration of Biological Deposit					
			Submission of "Sequence Listing," computer-readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino-acid sequence					
			Authorization of Attorney(s) to accept and follow instructions from Representative					
			Other PTO Data Entry Form (2 pages)					
		<u> </u>	Other					
5	.]	Declaration	or Oath					
_			Enclosed					
		_	original executed by					
100			□ Inventor(s)					
ñ			legal representative of inventor(s). 37 CFR 1.42 or 1.43					
			joint inventor or person showing a proprietary interest on behalf of inventor who					
			refused to sign or could not be reached					
			this is the petition required by 37 CFR 1.47 and the statement required by 37					
क्षेत्री क्षेत्री			CFR 1.47 is also attached					
اردی ا			Not Enclosed					
र्कन क्षेत्र		_	Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the					
J			above-named inventor(s).					
! 1877.			Showing that the filing is authorized.					
5 J								
≟ 6	. I		ventorship Statement					
		The in	ventorship for all the claims in this application is:					
			The same					
			Not the same. An explanation, including the ownership of the various claims at the time the					
			last claimed invention was made					
			is submitted.					
			□ will be submitted.					
7	. I	anguage						
			English					
			non-English					
			the attached translation is a verified translation. 37 CFR 1.52(d).					
8	. A	Assignment						
			An assignment of the invention to PEIRCE MANAGEMENT, LLC					
			is attached.					
			will follow.					

		fied copy of applic ountry		Number				
fron	from which priority is claimed is(are) attached. will follow.							
10. Fee calculation A. Utility application								
CLAIMS AS FILED								
		No. Filed	Base No.	No. Extra	Rate	Basic fee		
Ind	al claims ependent c lt. dep. cla		20 3 n/a	11 0 n/a	\$18.00 \$80.00 \$270.00	\$710.00 \$198.00 \$0.00 \$0.00		
 □ Amendment canceling extra claims enclosed. □ Amendment canceling multiply dependent claims enclosed. □ Fee for extra claims is not being paid at this time. Filing Fee Calculation 					\$908.00			
		B. Design applie (\$.0037 CFR 1. Filing fe				\$00.00		
		C. Plant applica (\$490.0037 CFI Filing fe				\$00.00		
11.	Entity Sta	Applicant herew	ith qualifies as a SI ee calculation (50%	MALL entity. of A, B, or C above)	\$454.00		

time when national examination on the merits takes place.

	13.	ree ray	шені				
			Not end	losed.			
No filing fee is to be paid at this time.							
			Enclose	d.			
			basic fi		\$0.00		
				petition fee for filing by other than all the inventors or			
				person on behalf of the inventor where inventor refused to			
				sign or could not be reached			
					\$0.00		
				for processing an application with a specification in a non-	•		
			_	English language			
				g	\$0.00		
				processing and retention fee	\$0.00		
			ā	fee for international-type search report	\$0.00		
				Total fees enclosed	\$0.00		
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	14.	Method	of Paymer	nt of Fees			
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177				Charge Account 18-2025 for \$0.00. A duplicate of this form is attached.			
m				1			
122			Charge	to credit card (PTO-2038 attached.)			
tus!							
m	15.	Authoriz	zation to C	harge Additional Fees			
The Commissioner is hereby authorized to charge the following additional fees				al fees by this paper			
ii i''''i	1. 1. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.						
ingi i Pi				37 CFR 1.16(a), (f), or (g) (filing fees)			
IJI				37 CFR 1.16(b), (c), or (d) (extra claims)			
				37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or d	eclaration on a date		
IJ!				later than the filing date of the application)			
[<u>]</u> : .				37 CFR 1.17 (application processing fees)			
H				37 CFR 1.18 (issue fee at or before mailing of Notice of Allowan	ce pursuant to 37		
				CFR 1.31(b))			

	16. Instructi ns As T Overpayment credit account 18-2025 refund
	15 May 2001
the thing that the thing the time that the tenth of the tenth that	Customer Number 535 5676 Riverdale Avenue Box 900 Bronx, NY 10471-0900 Tel: (718) 884-6600 Fax: (718) 601-1099 je

The Firm of Karl F. Ross P.C.

Herbert Dubno, reg. no. 19,752

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